

Figure 4 Intersections of shear bands and scratches in aged polycarbonate. Neck velocity =  $0.002 \text{ mm s}^{-1}$ . The micron marker refers to the length of the black band

However, in common with other papers in this field, no explanation for this phenomenon can be readily provided.

#### Acknowledgements

The author wishes to thank J. T. Knight for his help in providing the thin sections.

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## An approach to the mechanism of environmental stress cracking by theoretical kinetics

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(Received 24 May 1980)

Environmental stress cracking (ESC) in polyethylene (PE) has been studied both from scientific and technological viewpoints. A study based on the fracture mechanics of a continuum body was reported by Williams *et al.*<sup>1</sup>. Two different molecular mechanisms have been proposed. One was based on a surface energy reduction by ESC active liquids<sup>2</sup> and the other<sup>3,4</sup> attributed ESC to stress-induced plasticization. A study of PE ESC growth rate in our laboratory<sup>5</sup> has indicated that the thermal motion of PE segments at crack tips is enhanced by active liquids. Although the two theories of Brown<sup>3</sup> and Gent<sup>6</sup> for treating stress-induced plasticization are adequate, they are equilibrium theories. Because cracking is not a thermodynamically reversible process, a sound approach to the problem should take irreversibility into account. The theory presented here, which represents the role of stress as a promotion of the compatibility of active liquids with polymers, is developed from irreversible statistical mechanics. The results seem to give us a deeper insight into ESC in PE and other polymers. The ESC growth rate is assumed to be so slow that the flow of liquids is not rate-controlling.

We consider a system composed of phases I and II. Phase I, representing a polymer crack tip region, is a mixture of  $n_r$   $r$ -mers and  $n_s$   $s$ -mers. The former are polymer molecules and the latter are ESC active molecules and phase II represents an ESC active liquid. Phases I and II are in contact and  $s$ -mer molecules can migrate between the both phases. Phase I is subjected to a dilative stress  $P_1$  due to the stress concentration at the

crack tip and II to  $P_2$  (negative) due to atmospheric pressure. The whole system is maintained at a temperature  $T$ . Assuming local equilibrium and using the Flory-Huggins lattice theory, the Gibbs free energy of the system is given by:

$$G/kT = n_r \ln(1 - \varphi_s) + n_s \ln \varphi_s + \chi_{r,s} n_r n_s \varphi_s - n_s(p_1 - p_2)/kT + \text{constant} \quad (1)$$

where  $\varphi_s$  is the volume fraction of the  $s$ -mer in phase I and  $\chi_{r,s}$  is the interaction parameter defined for a pair of segments, one  $r$ -mer and one  $s$ -mer.

The free energy  $G$  versus  $\varphi_s$ , calculated for a system of 100-mers and 3-mers with  $\chi_{r,s} = 1$  and various effective dilative stresses  $\Delta p = (p_1 - p_2)/kT$  are shown in Figure 1. For  $\Delta p$  positive or zero, the free energy has a minimum near  $\varphi_s = 0$ , i.e., the  $r$ -mers and the  $s$ -mers are almost incompatible. As  $\Delta p$  becomes more positive  $G$  exhibits a minimum and a maximum and tends to  $-\infty$  as  $\varphi_s \rightarrow 1$ . With further increase in  $\Delta p$ , it decreases monotonically. When  $\Delta p$  is positive, the most stable state of phase I is thus the state  $\varphi_s = 1$ . At the moment when the loading by  $p_1$  on phase I and the contact of phase I and II starts, the system is at the state  $\varphi_s = 0$  and then is shifted towards the stable state  $\varphi_s = 1$  by the thermodynamical force. The shift rate will be slowed down in the interval between the two free energy extremes. When the concentration of the  $s$ -mer in phase I reaches a value, say  $\varphi_s^*$ , phase I will not support the dilative stress and will collapse, which is regarded here as

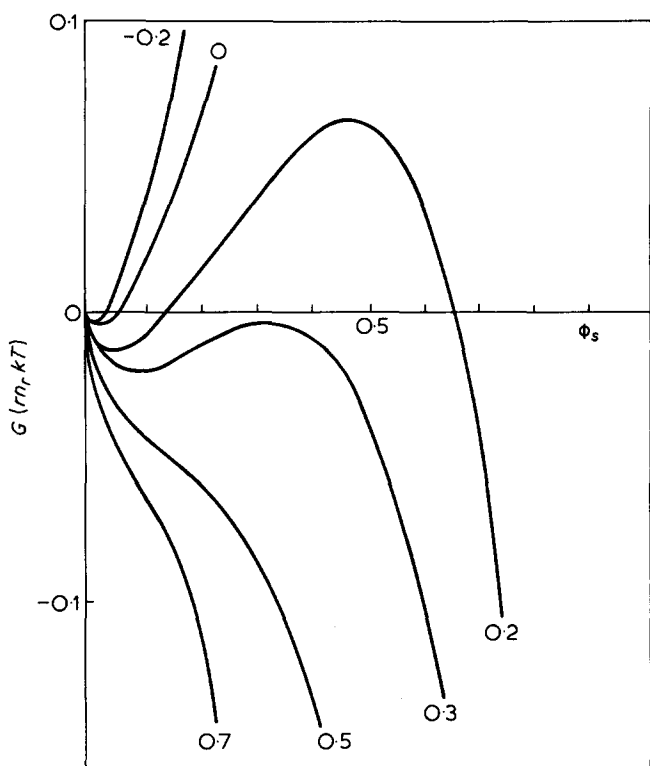


Figure 1 Gibbs free energy versus  $\phi_s$  calculated by equation (1). The values of the parameters are  $r = 100$ ,  $s = 3$ ,  $\chi_{r,s} = 1$  and the values of  $(\rho_1 - \rho_2)/kT$  are indicated in the Figure

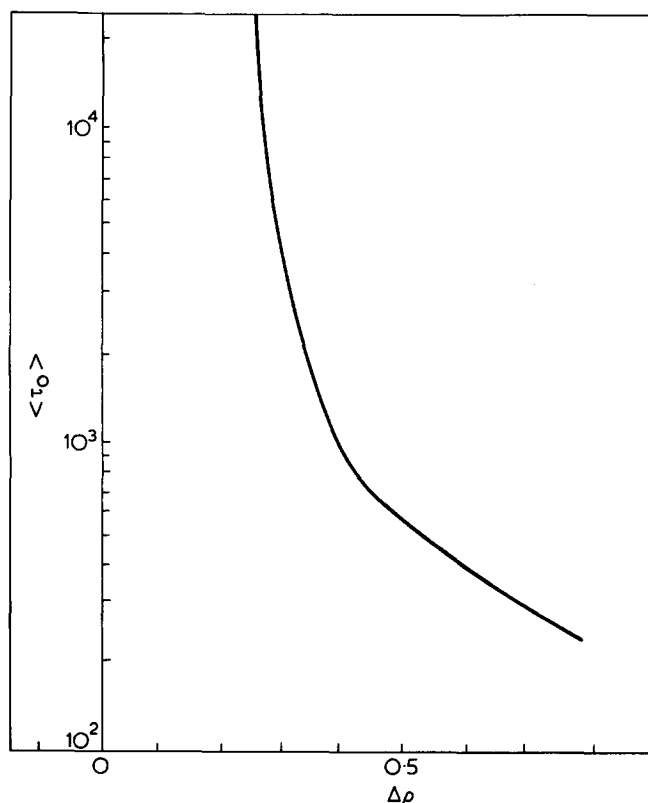


Figure 2 Mean fracture time  $\langle \tau_0 \rangle$  versus the effective dilative stress  $\Delta p = (\rho_1 - \rho_2)/kT$ . The values of the parameters are  $n_r = 10$ ,  $r = 100$ ,  $s = 3$ ,  $\chi_{r,s} = 1$  and  $\phi_s^* = 0.107$

ESC. The free energy curves are not greatly affected by polymer molecular weight and curves calculated by  $r = 1000$  cannot be separate in the Figure. It is quite natural to assume that  $\phi_s^*$  is changed by polymer molecular weight so that the higher the molecular weight, the larger the value of  $\phi_s^*$ .

An elementary manipulation of irreversible statistical mechanics with an assumed value of  $\phi_s^*$  leads to a formula predicting the mean time to collapse of phase I,  $\langle \tau_0 \rangle$ , in undetermined time units. Numerical calculations are now in progress. An example is shown in Figure 2, where  $\langle \tau_0 \rangle$  is plotted against the effective dilative stress  $\Delta p$ . The free energies used are given in Figure 1 and  $\phi_s^*$  is assumed to be 0.107. For a certain amount of  $\Delta p$ ,  $\phi_{s, \min}$ , the concentration giving minimum free energy, exceeds  $\phi_s^*$  and ESC will then proceed in a moderate time. With a decrease in  $\Delta p$ ,  $\phi_{s, \min}$  decreases and becomes smaller than  $\phi_s^*$  and the time to collapse suddenly increases. The critical stress below which ESC does not occur will be the level of stress giving a sudden increase in  $\langle \tau_0 \rangle$ . Another example (not shown here) indicates that a small difference in  $\phi_s^*$  resulting from difference in molecular weight results in a considerable difference in  $\langle \tau_0 \rangle$ . This corresponds to a marked influence of polymer molecular weight on ESC which is well known.

Various experimental data on PE ESC growth rate are being accumulated in our laboratory; some of them are rather puzzling. For example, the order of the activity of PE ESC active liquids are sometimes reversed by polymer molecular weight. Preliminary analysis, however, shows that the present kinetic theory is promising for solving these problems. The reported kinetic data on environmental stress crazing and cracking in glassy polymers are also being compared. The trends in the stress versus craze initiation time<sup>7</sup> are similar to that shown in Figure 2.

A fuller account, the formula of  $\langle \tau_0 \rangle$ , and the comparison with the experiments will be published soon.

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